

**ARIZONA BOARD OF REGENTS
ARIZONA STATE UNIVERSITY
OLD MAIN (Annotated Agenda)
Thursday and Friday, December 6-7, 2007
(Amended December 3rd, 2007)**

THURSDAY, December 6, 2007

- 1:00 p.m. **CALL TO ORDER, GREETINGS, AND ANNOUNCEMENTS FROM THE BOARD PRESIDENT**
- 1:10 p.m. **ADOPTION OF ALL CONSENT ACTION ITEMS AND ACCEPTANCE OF ALL CONSENT INFORMATION ITEMS (Approved)**

All items on the agenda that are underlined and marked with an asterisk (*) are consent matters and will be considered by a single motion with no discussion. All other items will be considered individually.

***Minutes**

<u>A</u>	<u>August 23-24, 2007</u>	<u>Executive Session</u>
<u>B.</u>	<u>September 27-28, 2007</u>	<u>Regular Meeting</u>

- 1:15 p.m. **CALL TO THE AUDIENCE**

Per Board Policy 1-114, time has been set aside for Call to the Audience, an opportunity for people to address the entire Board in a public setting to express their views or concerns to the Board.

The Board shall not recognize any person wishing to comment on or make a presentation concerning a proposed policy or rule for which a designated time to receive oral public comment, other than at the Call to the Audience, has been established.

- 1:30 p.m. **RESOURCES COMMITTEE (Chair: Regent Calderón)**

1. **Setting Base Tuition and Mandatory Fees for the 2008-2009 Academic Year (Approved with amendments including increasing financial aid set-aside from 14% to 15% and reducing ASU student program fee to \$50)**

The Board is requested to set resident and nonresident base tuition rates and mandatory fees for the 2008-2009 academic year.

2. Approval of Differential Tuition, Special Program Fees, Special Class Fees, and Other Fees for the 2008-2009 Academic Year (Approved)

The Board is requested to approve the universities' requests for differential tuition, special program fees, special class fees, and other fees for the 2008-2009 academic year. These additional charges to students are added to base tuition and mandatory fees.

3. Setting the University of Arizona College of Medicine Tuition for AY 2008-2009 (Approved)

The Board is requested to set resident tuition for the University of Arizona College of Medicine for AY 2008-2009.

4. FY 2008-2011 Technology and Research Initiative Fund Strategic Investments (TSI) Budget (Approved)

The Board is asked to approve the FY 2008-2011 TRIF Strategic Investments (TSI) Budget in the amount of \$10.9 million. This budget will support two research initiatives in the area of sustainable environments and two initiatives for the delivery of higher education in rural and underserved areas of Arizona.

5. Revised FY 2008 TRIF Regents Innovation Fund Budget (Approved)

The Board is asked to approve a revised FY 2008 TRIF Regents Innovation Fund Budget in the amount of \$2.7 million, to include a \$500,000 allocation to support the Clinical and Translational Science Award (CTSA) effort in Arizona in FY 2008 and a commitment to provide an additional \$2 million over the four-year period, FY 2009- FY 2012.

6. Proposal for Revision of Board Policies on Intellectual Property and Technology Transfer and Introduction of New Policy on Conflict of Interest

The Board is asked to consider and provide guidance on a proposal for revision of Board policies on Intellectual Property (6-908) and Technology Transfer (6-909.10) and for the introduction of a new policy on conflict of interest.

7. Amended and Restated Article V, "Board of Directors," of Bylaws of University Medical Center Corporation (UMCC) (Approved)

University Medical Center Corporation requests approval of an amendment to Article V, "Board of Directors," of UMCC Bylaws limiting

the terms of its directors and restating language regarding appointments and reappointments of directors.

8. Appointments and Reappointments to University Medical Center Corporation (UMCC) Board of Directors (Approved)

University Medical Center Corporation requests approval of the appointments to its Board of Directors of John M. Bernal and Daniel N. Lewis, with four-year terms from January 2008 to October 2011, and the reappointments of Laura T. Almquist and Gary E. Munk to additional four-year terms.

9. Proposed Revision to Board Policy 3-301 "University Investments" (First Reading)

The Board is asked to review a proposed revision to its Policy 3-301, University Investments, to provide flexibility to the universities for investment of university funds consistent with the scope of the Arizona State Treasurer's investment policy.

10. *FY 2007 University Technology Transfer Reports

As required by Board Policy 6-909.10, the Board will review the universities' Technology Transfer Reports, highlighting activities and performance for the fiscal year ending June 30, 2007.

11. *Authorization to Approve Awards (ASU)

Arizona State University requests authorization to approve seventeen new and continuation awards as described in the Executive Summary.

12. *Authorization to Approve Contracts (UA)

The University of Arizona requests authorization to approve three new contracts as described in the executive summary.

13. *Disclosures of Substantial Interest of University Employees (UA)

The University of Arizona requests approval for several university employees to establish and maintain substantial interests as described in the executive summary.

14. *Appointments and Reappointment of Arizona Area Health Education Centers (Arizona AHEC) Program Advisory Commission Members

The Board is asked to approve the appointments of R. Cruz Begay and Ross Kosinski, and the reappointment of Sandra Ludwig to the Arizona

Area Health Education Centers Program (Arizona AHEC) advisory commission.

15. ***Approval of Arizona Area Health Education Centers (Arizona AHEC) Revised FY 2008 Program Budget**

The Board is asked to approve the revised FY 2008 Budget for Arizona AHEC. This revision is necessary due to the receipt of FY 2007 State Lottery Funds after the Board's approval of the preliminary budget in June.

16. ***Proposed Amendment to ABOR Policy 4-311 "Arizona Student Financial Aid Trust Fund" to Conform to Change in Arizona Law (Second Reading)**

The Board is asked to approve a proposed amendment to Board Policy 4-311 to reflect statutory changes in the requirements of A.R.S. §15-1642 regarding the Arizona Financial Aid Trust Fund

4:00 p.m. **PROGRAMS COMMITTEE** (Chair: Regent Stuart)

17. **Academic Affairs Committee Charter and Review of Proposed Changes to ABOR Policies 2-203 "Academic Degree Program Planning and Implementation," 2-204 "Academic Program Changes," 2-208 "Academic Program Review," and 2-301 "Organizational Changes" (First Reading)**

The Board is asked to delegate authority to the Academic Affairs Committee, approve a Committee charter, and review, on first reading, policies related to the responsibilities of the Committee.

18. **2006-2007 High School Report Card**

The Board is requested to review the annual report on the academic performance of Arizona's 2005-2006 high school graduates enrolled in the universities in 2006-2007.

19. **Academic Program and Organizational Change Requests (Approved)**

The Board is asked to approve the following requests to implement new academic programs:

Arizona State University requests to implement:

BA in Business

PhD in Human and Social Dimensions of Science and Technology

PhD in Community Resources and Development

PhD in Biomedical Informatics

Northern Arizona University requests to implement:
BA in Business Administration, NAU-Yuma

20. ***Fall 2007 Enrollment Report**

The Board is provided with the Fall 2007 headcount and full-time equivalent enrollments for the Arizona University System.

21. ***Approval of Proposed New ABOR Policy 4-318 “Mathematics, Science and Special Education Teacher Student Loan Program,” to Create and Implement the Loan Forgiveness Program for Mathematics, Science and Special Education (Second Reading)**

The Board is asked to approve the proposed Policy 4-318 to create the Mathematics, Science and Special Education Loan Program for students who become teachers of mathematics, science or special education, in compliance with A.R.S. §15-1782.

22. ***2007 Progress Report on Articulation and Transfer for Arizona Postsecondary Education**

The Board is requested to review and approve for submission the 2007 Progress Report on Articulation and Transfer for Arizona Postsecondary Education to the Joint Legislative Budget Committee from the Arizona Board of Regents and the Arizona community college districts.

4:30 p.m. **PUBLIC AWARENESS COMMITTEE** (Chair: Regent DuVal)

23. **Update on Solutions Through Higher Education**

The Board will receive an update on Solutions Through Higher Education, the systemwide public awareness campaign.

4:40 p.m. **HUMAN RESOURCES COMMITTEE** (Chair: Regent Bulla)

24. **Approval of the Seventh Amendment to the Arizona Board of Regents Optional Retirement Plan and Consideration of the Proposed Revisions to Board Policy 6-602 “Optional Retirement Plan” (First Reading) (Approved the Seventh Amendment)**

The Board is asked to approve the Seventh Amendment to the ORP to establish a voluntary Phased Retirement Program and to revise the definition of vesting effective July 1, 2008, and to consider, on first reading, proposed revisions to the policy related to the voluntary Phased Retirement Program.

25. ***Approval of Extension to Multiple Year Employment Contract for the Women's Volleyball Team Head Coach (UA)**

The Board is asked to extend the Multiple Year Employment Contract for David C. Rubio as Head Coach of the University of Arizona Women's Volleyball Team for one additional year, through December 31, 2008. This item was reviewed by the Human Resources Committee at its meeting on November 13, 2007, and is recommended for Board approval.

26. ***Approval of Multiple Year Employment Contract for the Women's Golf Team Head Coach (UA)**

The Board is asked to approve a Multiple Year Employment Contract for Shelly Haywood as Head Coach of the University of Arizona Women's Golf Team for the term beginning January 1, 2008, through June 30, 2010. This item was reviewed by the Human Resources Committee at its meeting on November 13, 2007, and is recommended for Board approval.

27. ***Review of Revision to ABOR Policy 6-901 "Salary Increases" (Second Reading)**

The Board is asked to approve the proposed revision to Policy 6-901, "Salary Increases." The revision will increase the dollar limit for performance-based incentives to match a recent increase in this amount under Arizona law.

4:45 p.m. **TECHNOLOGY OVERSIGHT COMMITTEE** (Co-Chairs: Regent Palacios and Regent DeConcini)

28. **Report from the Technology Oversight Committee**

The Board will receive a report from Regent Palacios on the October 12, 2007 Technology Oversight Committee meeting.

4:50 p.m. **AUDIT COMMITTEE** (Chair: Regent Mariucci)

29. **Report from the Audit Committee**

The Board will receive a report from Regent Mariucci on the November 1, 2007 Audit Committee meeting.

5:00 p.m. **RECESS**

FRIDAY, December 7, 2007

9:00 a.m. REPORT FROM THE PRESIDENT OF ARIZONA STATE UNIVERSITY

9:15 a.m. STRATEGIC PLANNING, BUDGET AND FINANCE COMMITTEE (Chair: Regent Bulla)

30. Development of the 2020 Strategic Plan for the Arizona University System

The Board is asked to review the draft of the 2020 Strategic Plan for the Arizona University System.

31. Universities' 5-Year Strategic Plans (Approved)

The Board is asked to review and approve the proposed Five-Year Strategic Plans for FY 2009-2013 for the universities prior to their submission to the executive and legislative branches of government.

10:45 a.m. UPDATE ON THE UNIVERSITY OF ARIZONA COLLEGE OF MEDICINE – PHOENIX, IN PARTNERSHIP WITH ARIZONA STATE UNIVERSITY

Regent Stuart will update the Board on the University of Arizona College of Medicine-Phoenix, in partnership with Arizona State University.

11:00 a.m. CAPITAL COMMITTEE (Chair: Regent Calderón)

32. Approval of FY 2008 Capital Development Plan Amendment for Two Projects: Memorial Union Fire Renovations and Indoor Football Practice Facility (ASU) (Approved)

Arizona State University requests approval of an amendment to its FY 2008 Capital Development Plan Amendment to incorporate two new projects.

33. Combined Project Implementation Approval and Project Approval for Indoor Football Practice Facility (ASU) (Approved)

Arizona State University requests Combined Project Implementation Approval and Project Approval for a new \$8.4 million Indoor Football Practice Facility. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

34. **Approval of Sale of Approximately 25.1 Acres of Vacant Land at the Polytechnic Campus to Horizon Higley Development, LLC, for Use by University Public Schools Initiative (UPSI), and Waivers of Board Policies Requiring Public Auction and Inclusion of a Legal Description of the Property in the Submitted Summary Materials (ASU) (Approved)**

Arizona State University requests approval of the sale of vacant land at the Polytechnic campus to Horizon Higley Development, LLC (HHD LLC), a waiver of the requirements in ABOR Policy 70-204.A for an auction of the sale property and including of the legal description of the sale property. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

35. ***Approval of FY 2008 Capital Development Plan (CDP) Amendment to add Bryant Bannister Tree-Ring Archive Building Project, and Authority to Expend above Board Policy Limits for Professional and Consultant Services (UA)**

The University of Arizona requests approval to amend its FY 2008 Capital Development Plan (CDP) to incorporate one new project, Bryant Bannister Tree-Ring Archive Building, and authorization to expend more than the 3%, or \$500,000, Board policy limit on professional and consultant services related to preparing for Project Implementation Approval. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

36. ***Authority to Sell System Revenue Bonds to Finance the Hazardous Waste Facility Enclosure Project and the West Stadium Renovation for the Tree Ring Lab (UA)**

The University of Arizona requests approval to sell System Revenue Bonds to finance the Hazardous Waste Facility Project and the West Stadium Renovation for the Tree Ring Lab to produce sufficient proceeds not exceeding \$5.154 million and to pay the costs of issuance of the Bonds. Both projects received Combined Project Implementation Approval and Project Approval in September 2007. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

37. ***Project Implementation Approval for New Indoor Basketball Practice Facility, including Acquisition of the Existing Athletes' Performance Institute Facility (ASU)**

Arizona State University requests Project Implementation Approval for a new \$19.5 million Indoor Basketball Practice Facility to be named the Weatherup Center. The project is combined with the acquisition of the

Athletes' Performance Institute (API) at an estimated cost of \$2.5 million to provide support facilities for the new practice facility. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

38. *Approval of Sale of Real Property to PCCP Constellation, LLC, and Waiver of Board Policy requiring Public Auction (ASU)

Arizona State University requests approval of the sale of vacant land to PCCP Constellation, a Delaware limited liability company. The 0.0731 acre parcel owned by ASU is adjacent to land owned by PCCP Constellation. The sale to PCCP Constellation requires a waiver of Board policy requiring a public auction for the sale of real property. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

39. *Approval of Lease of Real Property at the ASU Polytechnic Campus to the East Valley Institute of Technology (EVIT) (ASU)

Arizona State University requests approval of a lease of 10 acres of vacant land at the Polytechnic campus to the East Valley Institute of Technology (EVIT) for 35 years with an option for an additional 10 years. EVIT will pay \$1 per acre per year and assume responsibility for infrastructure and site improvements. This item was reviewed by the Capital Committee at its November 15, 2007, meeting and is recommended for Board approval.

40. Combined Project Implementation Approval and Project Approval for Memorial Union Fire Renovation(ASU) (Approved)

Arizona State University requests combined Project Implementation Approval and Project Approval to proceed with renovations of the Memorial Union. ASU anticipates receiving a risk management insurance reimbursement of \$27 million to repair fire and water damage in the building. ASU is requesting approval of a total project budget to \$40 million to enable additional renovations.

11:30 a.m. REPORT FROM THE JOINT CONFERENCE COMMITTEE (JCC) OF THE UNIVERSITIES AND COMMUNITY COLLEGES

11:45 a.m. REPORT FROM THE ARIZONA FACULTIES COUNCIL

12:00 p.m. INQUIRIES, REQUESTS, REPORTS, AND COMMENTS FROM REGENTS AND MEMBERS OF THE COUNCIL OF PRESIDENTS

12:15 p.m. ADJOURN

PLEASE NOTE: This agenda may be amended at any time prior to 24 hours before the Board meeting. Estimated starting times for the agenda items are indicated; however, discussions may commence, or action may be taken, before or after the suggested times. Any item on the agenda may be considered at any time out of order at the discretion of the President of the Board. During the meeting, the Board may convene in Executive Session to receive legal advice regarding any item on the agenda.

Meeting Schedule for 2007-2008:

January 24 and 25, 2008	UA	March 6 and 7, 2008	ASU
April 24 and 25, 2008	UA	June 19 and 20, 2008	NAU

Meeting Schedule for 2008-2009

August 14 and 15, 2008	NAU	September 25 and 26, 2008	NAU
December 4 and 5, 2008	ASU	January 22 and 23, 2009	UA
March 12 and 13, 2009	ASU	April 30 and May 1, 2009	UA
June 18 and 19, 2009	NAU		